

Enztec Audio Ltd.

educational new zealand technology

21/68 Remuera Road, Remuera, Auckland, 1050, New Zealand

tel: +64-21-250-8091 fax: (U.S.) 1-509-463-4871 email: custserv@enztec.com

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OFFICE OF PETITIONS

Reference: US Patent #6,711,268 B2.

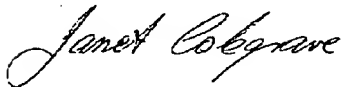
18 Oct. 2007.

Dear Sir/Madam,

Enclosed are:

1. *Maintenance Fee Transmittal* form.
2. A bank cheque for US\$490.00, as payment of the 3.5 year maintenance fee for our patent.
3. *Change of Correspondence Address* form.

Yours faithfully,



J.M. Colegrave

Managing Director

JANET MAY COLEGRAVE
924 HYDE CT
COSTA MESA, CA 92626

1821

Date 18 Oct 2007

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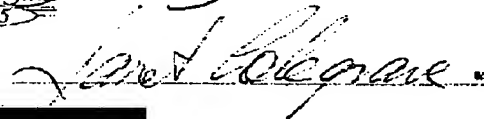
  

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MAINTENANCE FEE TRANSMITTAL FORM

(Do not submit this form electronically via EFS-Web)

Address to:
 Director of the United States
 Patent and Trademark Office
 Attn: Maintenance Fee
 2051 Jamieson Avenue, Suite 300
 Alexandria, VA 22314

- OR -

Fax to: 571-273-8500

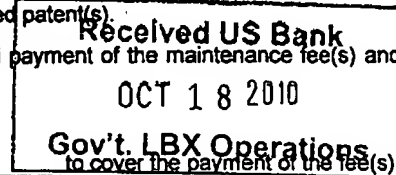
I hereby certify that this correspondence is being deposited with the NEW ZEALAND Postal Service with sufficient postage as first class mail in an envelope addressed to "Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314" on 8th OCTOBER 2010.

Signature

Typed or printed name A. B. COLEGRAVE

Enclosed herewith is the payment of the maintenance fee(s) for the listed patent(s).

- ☒ A check for the amount of \$ 1,240⁰⁰/₁₀₀ for the full payment of the maintenance fee(s) and any necessary surcharge is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is enclosed.
- ☐ The Director is hereby authorized to charge \$ _____ to Deposit Account No. _____.
- ☐ The Director is hereby authorized to charge any deficiency in the payment of the required fee(s) or credit any overpayment to Deposit Account No. _____.



* Information required by 37 CFR 1.366(c) (columns 1 & 2). Information requested under 37 CFR 1.366(d) (columns 3, 4, & 5).

Item	Patent Number*	U.S. Application Number* (e.g., 06/555,555)	Maintenance Fee Amount (37 CFR 1.20(e)-(g))	Surcharge Amount (37 CFR 1.20(h))	Payment Year (select one below) Column 5		
					3.5 yrs	7.5 yrs	11.5 yrs
1	US 6,711,268	60/212,807	\$1,240 ⁰⁰ / ₁₀₀	0		<input checked="" type="checkbox"/>	
2					10/20/2010 DALLER	00000000	6711268
3					01-1559		1240.00-00
4							
5							

Subtotals: Columns 3 & 4

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☐ additional sheets attached for listing additional patents.

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Respectfully submitted, **

Customer's Signature

A. B. Colegrave

Customer's Name

ARTHUR BARRY COLEGRAVE

Registration Number, if applicable: _____

Telephone:

NEW ZEALAND: +64-21-250-8091

Fax:

U.S. 1-509-463-4871

Note: All correspondence will be forwarded to the "Fee Address" or to the "Correspondence Address" if no "Fee Address" has been provided. See 37 CFR 1.363.

Payment of small entity fee is appropriate if small entity status still exists, see 37 CFR 1.27(g). To establish small entity status or to change status from small to large entity, a written assertion is required. See 37 CFR 1.27 and 1.33(b).

** WHERE MAINTENANCE FEE PAYMENTS ARE TO BE MADE BY AUTHORIZATION TO CHARGE A DEPOSIT ACCOUNT, BOTH THE NAME AND SIGNATURE OF AN AUTHORIZED USER ARE REQUIRED.

This collection of information is required by 37 CFR 1.366. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Director of the United States Patent and Trademark Office, Attn: Maintenance Fee, 2051 Jamieson Avenue, Suite 300, Alexandria, VA 22314.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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enztec audio ltd.

21/68 Remuera Road
Auckland 1050
New Zealand
Tel: +64-21-250-8091

To:	US Patent & Trademark Office	From:	Barry Colegrave
Attn:	Commissioner for Patents.	Fax:	US# 1-509-463-4871
Fax:	001-571-273-8300	Date:	31 st Oct. 2010
Re:	37 CFR 1.378(b) Petition.	Pages:	7

Re: Patent No. US 6,711,268 B2.

Dear Ms. Focarino,

On 28th Oct. 2010 we received a letter from Dorethea Allen of the Maintenance Fee Branch advising that our 31/2 year maintenance fee had never been received, and as a result, our Patent had expired.

We were completely taken aback by the contents of this letter, but heartened to read we could petition for the reinstatement of the Patent.

Please find attached the following documents:

1. Petition 37 CFR 1.378(b). (4 pages).
2. A Credit Card Payment Form, PTO2038.
3. A cover note from Enztec Audio Ltd., dated 18th Oct. 2007, to the USPTO Maintenance Office.

We trust that, given the circumstances that caused the delay, our Petition will be successful.

Yours faithfully.



Barry Colegrave

Director

Inventor.

2010 OCT 14 PM 4:04

#7

PTO/SB/45 (03-09)

Approved for use through 03/31/2012. OMB 0851-0016

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Attn: Maintenance Fee
2051 Jamieson Avenue, Suite 300
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- OR -

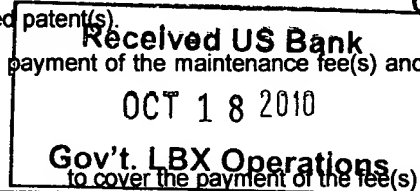
Fax to: 571-273-6500

Signature A. B. Colegrave
Typed or printed name A. B. COLEGRAVE

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Enclosed herewith is the payment of the maintenance fee(s) for the listed patent(s).

- ☒ A check for the amount of \$ 1,240⁰⁰/₁₀₀ for the full payment of the maintenance fee(s) and any necessary surcharge is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is enclosed.
- ☐ The Director is hereby authorized to charge \$ _____ to Deposit Account No. _____
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Item	Patent Number* Column 1	U.S. Application Number* [e.g., 06/555,555] Column 2	Maintenance Fee Amount (37 CFR 1.20(e)-(g)) Column 3	Surcharge Amount (37 CFR 1.20(h)) Column 4	Payment Year (select one below) Column 5		
					3.5 yrs	7.5 yrs	11.5 yrs
1	US 6,711,268	60/212,807	\$1,240 ⁰⁰ / ₁₀₀	0		<input checked="" type="checkbox"/>	
2					10/20/2010 DALLER	00000000	6711268
3					01 FC:1599		1240.00-00
4							
5							
Subtotals: Columns 3 & 4							
Total Payment			\$1,240 ⁰⁰ / ₁₀₀		<input type="checkbox"/> additional sheets attached for listing additional patents.		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on Form PTO-2038.

Respectfully submitted, **

Customer's Signature A. B. ColegraveCustomer's Name ARTHUR BARRY COLEGRAVETelephone: NEW ZEALAND: +64-21-250-8091

Registration Number, if applicable: _____

Fax: U.S. 1-509-463-4871

Note: All correspondence will be forwarded to the "Fee Address" or to the "Correspondence Address" if no "Fee Address" has been provided. See 37 CFR 1.363.

Payment of small entity fee is appropriate if small entity status still exists, see 37 CFR 1.27(g). To establish small entity status or to change status from small to large entity, a written assertion is required. See 37 CFR 1.27 and 1.33(b).

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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tel: +64-21-250-8091 fax:(U.S.) 1-509-463-4871 email: custserv@enztec.com

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6 October 2010

Ref: Patent # US6,711,268 B2.

Dear Sir/Madam,

My lawyer has advised me, in the course of my estate planning, to make the following payment in advance.

Please find enclosed:

1. A completed Maintenance Fee Transmittal Form. (Please note: this correspondence was deposited with the New Zealand Postal Service, not the US Postal Service).
2. A bank draft for US\$1,240.00, being payment in full of the 7.5 year maintenance fee.

Yours faithfully,




A. B. Colegrave

Director

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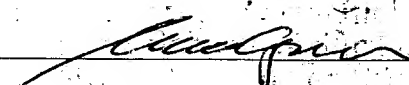
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Auckland 1050
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To:	USPTO Maintenance Fee Branch	From:	Barry Colegrave		
Attn:	Customer Service Desk.	Attn:			
Fax:	001-571-273-6500	Fax:	US# 1-509-463-4871		
Re:	Maintenance fee payment	Date:	20th Oct. 2010	Pages:	1

Re: Patent No. US 6,711,268 B2.

Appl. No. 09/849,820

Hi,

On the 8th Oct., I airmailed to your department, from New Zealand, a completed maintenance fee form and a bank draft for US\$1,240.00, as advance payment for the 7.5 year maintenance fee.

As I have not yet figured out how to do this on your website, could you please confirm receipt of same, either by fax, or email to: barry@enztec.com.

Thank you.



Barry Colegrave

Director

Inventor.